

Supplementary Form for Associated Shareholder, Secondary Shareholder & Permitted Transferee Online Application Form



This supplementary form must be completed by those applying to become an Associated Shareholder, Secondary Shareholder or Permitted Transferees in addition to information provided via the online application form for each of these flexible shareholding types. Please return your completed form to sharecompliance@fonterra.com.

You can either fill out and sign this form electronically (using Adobe, Microsoft Edge or similar) or you can print this form and fill it out manually.

Your details (Primary contact)

NOTE: Fields marked with an asterisk(*) are all mandatory and required to complete this form.

Full name:*

Email:*

Mobile number:*

Your entity details

Supply number/Application
Reference number (if known):

Party ID (if known):

Party/entity Name:*

What is the nature and purpose of your business relationship with Fonterra?* Please tick all that apply.

Milk supply

Purchase of retail products and/or services from Farm Source and/or Farm Source partners and suppliers

Access to Farm Source rewards, benefits and/or discounts

Shares and Investment

Other (please specify):

What is your ownership and control structure?

Does your entity have particular restrictions around the way it can operate, or the way decisions are made?* Select your response and provide a brief description if you selected 'yes'.

Yes

No

We are required by regulation to ask if there are 'any powers that bind and regulate' your entity – this could be a limited partnership agreement, voting rights if you're a company and any deeds of accession or amendment.

Please provide the following supporting documents depending on your entity type:

If your entity is a partnership or limited partnership, please provide:

a copy of your partnership/limited partnership agreement, or confirm:

I/we do not have a partnership agreement.

If your entity is a trust, please provide:

a copy of the trust deed and any deeds of retirement and/or appointment showing the current trustees, and any other documents that show amendments to the trust since it was formed.

the names of the Settlor(s) and any protectors of the trust:

Full Name:*
Date of Birth:*

Full Name:*
Date of Birth:*

Full Name:*
Date of Birth:*

Full Name:*
Date of Birth:*

Enhanced Customer Due Diligence

Is your company a vehicle for holding personal assets?

Yes No

Your company will be a vehicle for holding personal assets if, for example, it has been set up to hold personal assets.

Does your company have any nominee shareholders?

Yes No

A nominee shareholder is a shareholder who is required to carry out, or is accustomed to carrying out, that role in accordance with the directions or instructions of another person who is not a shareholder (i.e. a shareholder who is holding shares on behalf of another person).

If you ticked yes, please provide the name(s) of any nominee shareholder(s)*

Does your company have any nominee directors?

Yes No

A nominee director is a director who is required to carry out, or is accustomed to carrying out, that role in accordance with the directions or instructions of another person who is not a director, your company's holding company or an appointing shareholder (i.e. a director who is acting on behalf of another person).

If you ticked yes, please provide the name(s) of any nominee director(s)*

Does your company have any shares in bearer form?

Yes No

Shares in bearer form cannot be traced back to the owner and are not registered anywhere – the person who holds the physical share certificate is the owner.

Acknowledgement and Signature

By signing this form, you:

- represent and warrant that the details provided in this form are correct, and you are authorised to sign this form on behalf of the customer to which this form relates;
- acknowledge that Fonterra may need to request extra information from you in order to comply with anti-money laundering legislation;
- authorise Fonterra and its related companies to use, disclose and store the personal information in this application form for anti-money laundering and compliance purposes (including to share the personal information with our online trading account partner) and acknowledge that the terms of **Fonterra's Privacy Statement** apply to the collection, use, storage and disclosure of the personal information; and
- agree to contact Fonterra as soon as possible if any of the information provided in this form changes.

Signature:	<input type="text"/>	Signature:	<input type="text"/>
Full Name:	<input type="text"/>	Full Name:	<input type="text"/>
Date:	<input type="text"/>	Date:	<input type="text"/>
Signature:	<input type="text"/>	Signature:	<input type="text"/>
Full Name:	<input type="text"/>	Full Name:	<input type="text"/>
Date:	<input type="text"/>	Date:	<input type="text"/>

Only use the number of signature blocks you require, based on the number of persons who are authorised to sign on behalf of your entity.

What happens next?

1. We'll review your application and contact the primary contact if we require any further information.
2. The next step is completing the individual verification. Each 'individual beneficial owner' of your entity and any individual who is authorised to act on your entity's behalf will be sent a text with a link to a secure website via Green ID to verify their identity. It's important to attend to this as soon as possible as the link is only valid for 5 days. If you need this link re-sent, please contact the Famer Support team on **0800 65 65 68**.
3. If any of these individuals are unable to do this electronically, there is an option for them to provide their passport and recent proof of address manually – please contact the Farmer Support Team on **0800 65 65 68** or your local Farm Source representative if you would like more information.
4. Once all the information has been received and confirmed, the customer due diligence process is complete